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**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. N02.3003C1)

In Re Application of:	Kyungae Lee, et al.	)	
		)	
		)	Examiner: Not assigned yet
		)	
Serial No. :	10/824,826	)	
		)	
Filed:	04/15/2004	)	Art Unit: Not assigned yet
		)	
For:	SUBSTITUTED TETRAHYDROISOQUINO-	)	
	LINES AS C5A RECEPTOR MODULATORS	)	

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In regard to the above-identified application,

1. We are transmitting herewith the attached:

- a) Information Disclosure Statement (2 pages)
- b) PTO Form 1449 (1 pages);
- c) 3 references listed on PTO Form 1449 (917 pages); and
- d) Postcard

2. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the items described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 the 28<sup>th</sup> day of June 2004.

Respectfully submitted,  
**NEUROGEN CORPORATION**  
35 Northeast Industrial Road  
Branford, CT 06405  
Phone: (203) 488-8201

6/28/2004  
Date

By: [Signature]  
Ann Kadlecsek  
Reg. No. 39,244

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. N02.3003C1)



In Re Application of: Kyungae Lee, et al. )  
)  
) Examiner: Not assigned yet  
)  
Serial No. : 10/824,826 )  
)  
Filed: 04/15/2004 ) Art Unit: Not assigned yet  
)  
For: Substituted Tetrahydroisoquinolines as )  
C5a Receptor Modulators )

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This information disclosure statement is filed under 37 C.F.R. §§1.97-1.98 in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

In the judgment of the undersigned, the references listed on the attached Form PTO-1449 may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this statement or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. 102.

1. PCT International Publication No. WO 02/49993, published on 6/27/2002.
2. European Patent Application No. EP 1 308 438 A1, published on 05/07/2003.
3. European Patent Application No. EP 1 318 140 A1, published on 06/11/2003.


In accordance with MPEP Sections 609 and 707.05(b), it is requested the documents cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration, and that the documents be cited of record in the


prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The Commissioner is hereby authorized to charge any fee deficiencies or credit any overpayment to deposit account no. 501116.

Respectfully Submitted,  
**NEUROGEN CORPORATION**  
35 Northeast Industrial Road  
Branford, CT 06405  
Phone: (203) 488-8201

6/28/2004  
Date

By:   
Ann Kadlecek  
Reg. No. 39,244

<b>FORM PTO-1449</b> (Rev. 2-3-99)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Atty. Docket No.</b>	<b>Serial No.</b>
		N02.3003C1	10/824,826
		<b>Applicant:</b> Kyungae Lee, et al.	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		<b>Filing Date:</b> 04/15/2004	<b>Group:</b>

### U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

### FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	1	WO 02/49993	06/27/2002	PCT International				
	2	EP1308438(A1)	05/07/2003	European Appl.				
	3	EP1318140(A1)	06/11/2003	European Appl.				

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

Examiner Initial		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of hits form with next communication.